SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre <u>Appleton Wi</u>	1 0		er Name and Ticker			ymbol		ationship of Reportir k all applicable) Director	10% (Owner		
(Last) 312 WALNUT	(First) STREET, 28T	(Middle) TH FL.	3. Date 02/28	e of Earliest Transac /2019	tion (Mo	onth/D	ay/Year)		Officer (give title below) EVP and Ge	other below eneral Counse	<i>'</i>	
(Street)			4. If Ar	nendment, Date of (Driginal I	Filed	(Month/Day/Ye	6. Indi Line)	6. Individual or Joint/Group Filing (Check Applicable Line)			
CINCINNATI	OH	45202						X	Form filed by One Reporting Person			
(City)	(State)	(Zip)							Form filed by Mo Person	re than One Rep	orting	
		Table I - Nor	n-Derivative S	ecurities Acqu	uired,	Disp	oosed of, o	r Bene	eficially	Owned		
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	Execution Date, Transaction Dispo					5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Class A Common Shares, \$.01 par value per share							124,419	D	
Common Voting Shares, \$.01 par value per share							0	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Nun of Deriva Securi Acquin (A) or Dispos of (D) (Instr. and 5)	tive ities red sed 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ate	e of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	02/28/2019		A		3,482		03/01/2019	03/01/2022	Restricted Stock Units	3,482 ⁽¹⁾	\$0.00	36,970	D	
Restricted Stock Units	(2)							03/09/2016	03/09/2019	Restricted Stock Units	4,331		4,331 ⁽²⁾	D	
Restricted Stock Units	(3)							03/09/2017	03/09/2020	Restricted Stock Units	7,204		7,204 ⁽³⁾	D	
Restricted Stock Units	(4)							03/01/2018	03/01/2021	Restricted Stock Units	16,302		16,302 ⁽⁴⁾	D	

Explanation of Responses:

1. Since the Company exceeded performance goals, additional restricted stock units were credited. This restricted stock award will vest in equal parts in 2019, 2020, 2021 and 2022. Upon vesting, each restricted stock unit will convert into one Class A Common Share of the Company.

2. This restricted stock unit award will vest in 2019. Upon vesting, each restricted stock unit will convert into one Class A Common Share of the Company.

3. This restricted stock unit award will vest in equal parts in 2019 and 2020. Upon vesting, each restricted stock unit will convert into one Class A Common Share of the Company.

4. This restricted stock unit award will vest in equal parts in 2019, 2020, and 2021. Upon vesting, each restricted stock unit will convert into one Class A Common Share of the Company.

Remarks:

/s/ William Appleton ** Signature of Reporting Person 03/21/2019 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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